



Combines the benefits of a Structured Product and a Fund when investing in Traditional or Alternative Asset Classes

WHAT?

A Structured Fund combines the benefits of a Structured Product and a Fund when investing in Traditional or Alternative Asset Classes.

Structured Product Characteristics:

- Simple and Straightforward Portfolio of Assets
- Systematic Asset Management and Risk Management Techniques employed rather than individual Investment Manager skill
- Features such as Capital Protection can be used

Fund Characteristics:

- Open Ended with no fixed maturity
- Annual Management Fees rather than Upfront Fees
- Unit Price and Liquidity

Any Traditional or Alternative Asset Class can be selected for investment by your fund: equities, bonds, hedge funds, private equity, commodities etc.

Any Investment Instrument: Individual assets, concentrated portfolios, funds, indices, ETFs, derivatives etc.

WHY?

Your firm can offer your own Structured Fund to your Investor clients with your own branding and livery.

Advisor firm captures the Annual Fund Management Fees normally paid to the Fund Managers of the funds recommended.

Your firm has full control over the investment selection, asset allocation, investment techniques used and costs and charges of the Structured Fund.

Potential to introduce exciting features like Capital Protection to fund investing.

Puts you in a position to articulate investment performance clearly and transparently to your investor clients.

Elevate your client's and the industry's perception of your firm.

HOW?

Work with DAM and its partners to create your fund.

DAM will advise you on all aspects of the creation of the Fund including counterparty selection, selection of underlying investment(s), the systematic asset allocation mechanism, suitable fees and charges and the administrative and advice infrastructure required.

Structured Funds are in the form of a Note issued by an A rated bank and listed on a Stock Exchange.

INVESTMENT ADVISOR TERMS

You decide on the Annual Fund Management Fee paid to your firm from the Structured Fund each year.

Investment Advisors must be regulated firms. We understand that firms should be authorised to provide advice in relation to "Listed Shares and Bonds" in order to provide advice in relation to the listed Note that underlies the Structured Fund.

Warning: This document is intended for Investment Advisor firms only.

INVESTMENT RISK LEVEL

The Risk Score will depend on the specific features of each Structured Fund.

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